



**TRIBAL GAMING AGENCY
VENDOR ENTITY LICENSE APPLICATION**
(Entity Applicant)

PIT RIVER GAMING COMMISSION
20265 Tamarack Avenue ♦ Burney, California 96013
(530) 335-2334 X 238 ♦ (530) 335-4734

Tribal Licensing Fee: \$ _____

Instructions: Type or print. Indicate "N/A" for items that do not apply. If more space is needed, attach separate sheets. False or incomplete answers could result in the denial or subsequent revocation of a license.

The undersigned hereby makes application to the Pit River Gaming Commission for a Tribal Gaming Agency Vendor Entity License:

1. Name of Applicant (i.e., corporation, partnership, etc.): _____
Business Address: _____
Main Office (if different than above): _____
Business Phone: (_____) _____ Business FAX: (_____) _____
Federal Tax ID Number _____ Or SSN (if Sole Proprietorship): _____
 - (a) Trade name to be used: _____
 - (b) If application is to replace a license obtained under another name at the same location, state former name: _____
 - (c) Provide general description of this business and its activities: _____

 - (d) Type of gaming service to be provided:
Gaming Services (must specify): _____
Management _____ Financing _____ Other: _____
Food/Restaurant _____ Cleaning supplies/Janitorial _____ Gaming Supplies _____
Gaming Devices: Manufacturer _____ Distributor _____
Other (please specify): _____
2. Indicate whether applicant is a: Partnership _____ Corporation _____ Sole Proprietorship _____
Limited Liability Company _____ Other (specify) _____

3. Will the business be investing in or loaning money to the gaming operation? Yes No

If YES, amount of cash or other investment: \$_____

Please describe the source of funds for this investment: _____

4. Describe below any current or previous business relationship(s) with the gaming industry, including ownership interests in those businesses. For each, list name of business, address, nature of business relationship, and dates of relationship.

Name of Business	Address	Nature of Relationship	Dates of Relationship

5. Does this business have any current or previous investment, business relationship, or agreement with any Indian tribe, or an ownership, financial, or management interest, either gaming or non-gaming related, in any tribal business?

Yes No If YES, list Tribe, location, nature of relationship, and dates.

Tribe	Location	Nature of Relationship	Dates

6. Does the business have or anticipate an investment, a business relationship, or any other role in this, or any other gaming operation?

Yes No If YES, provide details and describe role.

7. Provide names, addresses, and telephone numbers of three (3) business references with whom you have regularly done business within the last five (5) years, plus at least one bank reference.

(a) _____
Name Address City State Zip
Telephone Number (____) _____ Contact Name: _____

(b) _____
Name Address City State Zip
Telephone Number (____) _____ Contact Name: _____

(c) _____
Name Address City State Zip
Telephone Number (____) _____ Contact Name: _____

(d) _____
Bank Name
Telephone Number (____) _____ Contact Name: _____

8. Has this business ever applied to any licensing or regulatory agency for a license, permit, or authorization? relating to gaming, whether or not such license, permit, or authorization was granted?

Yes No If YES, complete the following for each license, permit, or authorization.

(a) Agency, Tribe, or State applied to: _____
Action taken: _____ Location: _____
Dates: _____ Type of application: _____
Type of license, if granted: _____ Dates license held: _____
Describe any disciplinary action, suspension, revocation, or denial: _____

(b) Agency, Tribe, or State applied to: _____
Action taken: _____ Location: _____
Dates: _____ Type of application: _____
Type of license, if granted: _____ Dates license held: _____
Describe any disciplinary action, suspension, revocation, or denial: _____

9. Complete the following if the applicant business is a corporation.

(a) State of Incorporation: _____ Date: _____

(b) Date of qualification to do business in the State of California: _____

(c) A notarized copy of the Articles of Incorporation is attached: Yes No

If NO, state reasons: _____

(d) A complete list of all stockholders showing the number of shares of record held by each is Filed herewith: Yes No

If NO, state reasons: _____

10. Complete the following if the applicant business is a sole proprietorship, partnership, or other form of business organization.

1. State where registered or qualified to do business: _____

Provide state's business registration or license number: _____

2. Date of qualification to do business in California: _____

Provide California business registration or license number: _____

3. Attach a true and correct copy of the partnership agreement, if applicable: _____

4. Attach a true and correct copy of any other agreement to do business, if applicable: _____

11. Provide the following information for each owner, partner, officer, director, or stockholder (with ten percent or more of the shares), the ten (10) largest stockholders, and any person(s) that will be responsible for on-site supervision or management

(1) Name: _____ Title: _____

Address: _____ Telephone Number (_____) _____

Social Security Number: ____-____-____ Percentage of Ownership In Company: _____

(2) Name: _____ Title: _____

Address: _____ Telephone Number (_____) _____

Social Security Number: ____-____-____ Percentage of Ownership In Company: _____

(3) Name: _____ Title: _____

Address: _____ Telephone Number (_____) _____

Social Security Number: _____ - _____ - _____ Percentage of Ownership In Company: _____

(4) Name: _____ Title: _____

Address: _____ Telephone Number (_____) _____

Social Security Number: _____ - _____ - _____ Percentage of Ownership In Company: _____

12. List your company's major funding and financial sources, and major financial liabilities, including those of \$50,000 or more.

13. Has this business, or any of its officers, directors, partners, investors, managers, or principals, ever been a defendant in a civil action?

Yes No If YES, complete the following for each:

Dates	Court Name/Address	Nature of Action	Disposition

On behalf of applicant, I agree to the following:

1. To maintain a ledger in the principal office of the corporation which shall at all times reflect the ownership of every class of security issued by the corporation and be available for inspection by the Tribal Gaming Agency and their authorized agents at all reasonable times with or without notice.
2. To provide any further financial data or other information which may be deemed necessary or appropriate.

3. Upon request, to submit balance sheets and profit and loss statements, audited by independent certified public accountants for the three (3) preceding fiscal years.
 4. Upon request, to provide to the Tribal Gaming Agency an annual profit and loss statement and balance sheet and a copy of applicant's annual Federal Income Tax return within 30 days after such return is filed.
 5. To provide to the Tribal Gaming Agency, at least annually, a complete list of all stockholders of the corporation showing the number of shares held by each.
 6. To report to the Tribal Gaming Agency any change in applicant's officers, directors, partners, investors, principals, or others who would be required to provide information under Question 11 of this application.
 7. To provide an organizational chart of the business down to department head level.
 8. To require all those persons required to provide information under Question 11 of this application, when requested, to execute and file an application with the Tribal Gaming Agency.
 9. To pay the fees or costs of the investigation of the applicant, including those persons required to provide information under Question 11 of this application, as required by the Tribal Gaming Agency.
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Use of Information Provided:

The purpose of the requested information is to determine the eligibility of the business to be licensed. The information will be used by the Pit River Gaming Commission a Tribal Gaming Agency and staff who have need for the information in the performance of their official duties. The information may be disclosed to the appropriate federal, tribal, state, local, or foreign law enforcement and regulatory agencies when relevant to civil, criminal, or regulatory investigations or prosecutions, or when pursuant to a requirement by the Tribe or the National Indian Gaming Commission in connection with the issuance or revocation of a gaming license, or investigation of activities while associated with a Tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice may result in the Tribe's being unable to license this business.

Notice Regarding False Statements:

In signing this application, I understand that false or misleading statements on any part of the application may be grounds for denial or revocation of a gaming license.

CERTIFICATION

I certify that I am a duly authorized officer of the applicant with knowledge and authority to provide the above information and to act on behalf of this business entity, and that to the best of my knowledge and belief the foregoing information is true, accurate, and complete as of the date of this application. I understand that untruthful or misleading answers are cause for denial of the application and/or revocation of any gaming license granted. I further understand that this business has a continuing duty to provide all materials, assistance, or information required by the Pit River Gaming Commission a Tribal Gaming Agency, including any information that may be requested from business owners, shareholders, directors, officers, or other key personnel; and to fully cooperate in any investigation conducted by or on their behalf. If any information provided on this application changes or otherwise becomes inaccurate, or new information becomes available, I agree to promptly notify the Pit River Gaming Commission with or without a formal request for such information.

NAME: _____ TITLE: _____
(Print)

SIGNATURE: _____ DATE: _____

FOR APPLICANT: _____

Subscribed and sworn to before me this _____ day of _____, _____

(Print Name)

Notary Public in and for the State of _____

Residing at _____

My Commission expires: _____

**PIT RIVER GAMING COMMISSION
RELEASE OF ALL CLAIMS
(VENDOR ENTITY)**

I, _____, the undersigned, as the authorized representative acting on behalf of the business listed below ("Applicant"), am filing with the Pit River Gaming Commission a Tribal Gaming Agency this application for a gaming license. In consideration of the assurance by the Pit River Gaming Commission that no action on this application will be taken except after a complete investigation, I hereby for myself, the business entity, and its successors and assigns, release, remise, and discharge the Tribe, the Pit River Gaming Commission, and their respective members, agents, and employees, from any and all causes of action, suits, debts, judgments, executions, claims, and demands, known or unknown, in law or equity, which this business had, now has, or may claim to have against the Tribe, the Pit River Gaming Commission, or their agents or employees, arising out of or by reason of the processing or investigation of or other action relating to this gaming license application.

I, as an authorized representative acting on behalf of Applicant, have read this release and understand all of its terms and execute it voluntarily and with full knowledge of its significance.

In witness thereof, I have executed this release at _____, on the _____ day of _____.

Signature of Authorized Representative

Title

Business Name

Subscribed and sworn to before me this _____ day of _____, _____

(Print Name)

Notary Public in and for the State of _____

Residing at _____

My Commission expires: _____

OR

Witnessed by _____, this _____ day of _____, _____

Signed: _____

A duly authorized witness for the _____ Tribe,

Residing at _____

VENDOR ENTITY APPLICANT'S REQUEST TO RELEASE INFORMATION

To: _____
(Leave Blank)

From: _____
(Name)

1. I hereby authorize and request all persons to whom this request is presented having information relating to or concerning _____ to furnish such information to a duly appointed agent of the Pit River Gaming Commission, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or common law privilege.
2. I hereby authorize and request all persons to whom this request is presented to permit a duly appointed agent of the Pit River Gaming Commission to review and copy any and all documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.
3. If the person to whom this request is presented is a credit bureau, civil or criminal court, law enforcement agency, regulatory agency, insurance company, governmental taxing authority, brokerage firm, bank, savings and loan, or other financial institution or an officer of same, I hereby authorize and request that a duly appointed agent of Pit River Gaming Commission be permitted to review and/or obtain copies of any and/or all documents, records or correspondence pertaining to _____, including but not limited to past loan information, notes cosigned by me, checking account records, safe deposit records, safe deposit box record, passbook records, and general ledger folio sheets, criminal or civil records, licensing records, federal or state tax records or any other state, federal or local governmental records.
4. I have filed an application for business licensing with the Pit River Gaming Commission. I understand that I am seeking the granting of a privilege and acknowledge that the burden of proving my qualifications for a favorable determination is at all times on me. I accept any risk of adverse public notice, embarrassment, criticism, and any other action of financial loss that may result from action with respect to this application.
5. I do, for myself, my heirs, executors, administrators, successors and assigns, corporate officers, principals and shareholders I represent, hereby release, remise, and forever discharge the person to whom this request is presented, and his agents and employees from all manner of actions, cause of action, suits, debts, judgments, execution, claims, and demands whatsoever, known or unknown, in law or equity, which I ever had, now have, may have, or claim to have against the person to whom this request is presented or his agents or employees arising out of or by reason of complying with this request
6. I agree to indemnify and hold harmless the person to whom this request is presented and his agents and employees from and against all claims, damages, losses, and expense, including reasonable attorney's fees arising out of or by reason of complying with this request.
7. A reproduction of this request by photo copy or similar process shall be for all intents and purposes as valid as the original.

In witness thereof, I have executed the request at _____, _____
City State
on this day _____ of _____, 20____

Applicant's Signature

Subscribed and sworn to before me on this _____ day of _____, 20____.

(Print name of Notary Public)

(Signature of Notary Public)

Notary public in and for the County of _____ State of _____

My Commission expires: _____

Pit River Gaming Commission agent presenting this request:

(Signature)